



## ISSUE FEE AND/OR PUBLICATION FEE TRANSMITTAL

## CURRENT CORRESPONDENCE ADDRESS


30869 7590 8/28/2007

LUMEN INTELLECTUAL PROPERTY SERVICES, INC.  
2345 YALE STREET, 2ND FLOOR  
PALO ALTO, CA 94306

## Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop ISSUE FEE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, or being facsimile transmitted to the USPTO (571) 273-2885, on the date shown below:

Abigail Capulong (Depositor's name)

 (Signature)

11/2/07 (Date)

Application No.	Filing Date	First Named Inventor	Docket No.	Confirmation No.
-----------------	-------------	----------------------	------------	------------------

10/750636	12/19/2003	Raymond Hebert	NAK-130B/US	9907
-----------	------------	----------------	-------------	------

Title: APPARATUS AND METHOD FOR OPTICAL CHARACTERIZATION OF A SAMPLE OVER A BROADBAND OF WAVELENGTHS WHILE MINIMIZING POLARIZATION CHANGES

Appl. Type	Small Entity	Issue Fee Due	Pub. Fee Due	Prev. Paid IF	Total Fee Due	Date Due
------------	--------------	---------------	--------------	---------------	---------------	----------

nonprovisional	YES	\$720	\$300	\$0	\$1020	11/28/2007
----------------	-----	-------	-------	-----	--------	------------

Examiner

Art Unit

Class-SubClass

Akanbi, Isiaka

2877

356/369

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363):

- ☐ Change of correspondence address attached.  
☐ "Fee address" indication attached.

2. For printing on the patent front page list firm name:

LUMEN INTELLECTUAL PROPERTY SERVICES, INC.

## 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT.

Unless an assignee is identified below, no assignee will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

1. n&amp;k Technology, Inc.

(B) RESIDENCE (City and State or Country)

1. Santa Clara, CA

01 FC:2501

720.00

02 FC:1504

300.00

Please check the appropriate assignee category/categories: ☐ Individual ☒ Corporation or Private Group Entity ☐ Government

4a. The following fee(s) are submitted:

- ☒ Issue Fee  
☒ Publication Fee  
☐ Advance Order - # of Copies \_\_\_\_\_

4b. Payment of fee(s):

- ☐ Check is enclosed  
☒ Payment by credit card (form is attached)  
☐ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account No. \_\_\_\_\_ (enclose extra copy)

5. Change in entity status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status ☐ b. Applicant is no longer claiming SMALL ENTITY status

## SIGNATURE OF APPLICANT, REGISTERED ATTORNEY, OR REGISTERED AGENT

SIGNATURE



DATE

11/2/07

PRINTED NAME

Robert Lodenkamper

REGISTRATION NUMBER

55,399

This collection of information is required by 37 CFR 1.1311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 USC 122 and 37 CFR 1.11 and 1.14.

SEND TO: Mail Stop ISSUE FEE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)		Application Number	10/750636
		Filing Date	12/19/2003
		First Named Inventor	Raymond Hebert
		Art Unit	2877
		Examiner Name	Akanbi, Isiaka
Total Number of Pages in This Submission		Attorney Docket Number	NAK-130B/US

ENCLOSURES (Check all that apply)			
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawings	<input type="checkbox"/> After Allowance Comm. to TC	
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related papers	<input type="checkbox"/> Appeal Comm. to Board of Appeals and Interferences	
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Comm. to TC (Appeal Notice, Brief, Reply Brief)	
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information	
<input type="checkbox"/> Affidavits/Declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation Change of Corresp. Address	<input type="checkbox"/> Status Letter	
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Other (Specified below)	
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund		
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s) _____		
<input type="checkbox"/> Certified Copy of Priority Doc(s)	<input type="checkbox"/> Landscape Table on CD		
<input type="checkbox"/> Reply to Missing Parts/Incomplete Application	Other: Issue Fee		
<input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53			
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
FIRM NAME	LUMEN INTELLECTUAL PROPERTY SERVICES, Inc.		
SIGNATURE			
PRINTED NAME	Robert Lodenkamper		
DATE	11/2/07	REGISTRATION NUMBER	55,399

CERTIFICATE OF TRANSMISSION/MAILING	
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below:	
SIGNATURE	
PRINTED NAME	Abigail Capulong
DATE	11/2/07

This collection of information is required by 37 CFR 1.51. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 USC 122 and 37 CFR 1.11 and 1.14.  
SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.